

**ADMINISTRATIVE PROCEEDING
BEFORE THE
SECURITIES COMMISSIONER OF SOUTH CAROLINA**

IN THE MATTER OF:)	
)	
Raymond James & Associates, Inc.)	CONSENT ORDER
(CRD No. 705),)	Matter No. 20186982
)	
Respondent.)	
_____)	

I. PRELIMINARY STATEMENT

II. JURISDICTION

III. THE RESPONDENT

IV. FINDINGS OF FACT

A. THE RELEVANT PERIOD

VI. ORDER

NOW THEREFORE

ORDERED

Memorandum

TO: [Redacted]
FROM: [Redacted]
SUBJECT: [Redacted]

Administrative Memorandum

Confidential

DATE: [Redacted]
BY: [Redacted]

Division: South Carolina Attorney General's Office, Securities

Date: 1/27/2020

[Signature]
Jonathan P. Williams
Assistant Deputy Attorney General