

WHEREAS, on October 1, 2014, the Division issued a Summary Suspension order suspending the investment adviser registration of the Firm and the investment adviser representative registration of [redacted] until such time as the Rule to Show Cause order is resolved; and

[redacted] before the Securities Commission (the "Commission"); and

Respondent 10 understands that after the confusion of the registration process and the

payment of the additional five thousand dollars (\$5,000), he retains the ability to reapply for registration

and that he will be able to do so.

Respondent 10

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WE CONSENT:

Security Division of the Office of the Attorney General

[REDACTED]