

**ADMINISTRATIVE PROCEEDING
BEFORE THE
SECURITIES COMMISSIONER OF SOUTH CAROLINA**

IN THE MATTER OF:)
)
Sonos Capital Advisors, LLC,)
)

SUMMARY SUSPENSION

FILE # 150275

FILE # 14110

_____)
Respondent.)

WHEREAS, the Securities Division of the Office of the Attorney General of the State of South Carolina has been authorized and directed by the Securities Commissioner of South Carolina (the "Securities Commissioner") to administer the provisions of S.C. Code Ann. § 35-1-101, *et seq.*, the South Carolina Uniform Securities Act of 2005 (the "Act"); and

WHEREAS, pursuant to S.C. Code Ann. § 35-1-412(f), the Securities Commissioner may

summarily suspend a Respondent's registration before the final determination of an administrative proceeding;

NOW THEREFORE, upon due consideration of the subject matter contained in a related administrative proceeding under the Act having the same file number, 14110, and having reason to believe that Respondent violated certain provisions of the Act and the Rules promulgated thereunder;

IT IS HEREBY ORDERED that S.C. Code Ann. § 35-1-412(f) shall apply to Respondent.

within 30 days after the date of service of the order, the order becomes final by operation of law and will

remain in effect until it is modified or vacated in accordance with the Act.

ENTERED this the 10th day of December 2014

SECURITIES COMMISSIONER

BY: Tracy A. Meyers

TRACY A. MEYERS

Deputy Securities Commissioner

STATE OF SOUTH CAROLINA
OFFICE OF THE ATTORNEY GENERAL

SECURITIES DIVISION

REGISTRATION STATEMENT
FOR THE SALE OF
SECURITIES

STATE OF SOUTH CAROLINA
OFFICE OF THE ATTORNEY GENERAL
SECURITIES DIVISION
COLUMBIA, SOUTH CAROLINA 29201

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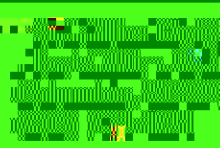
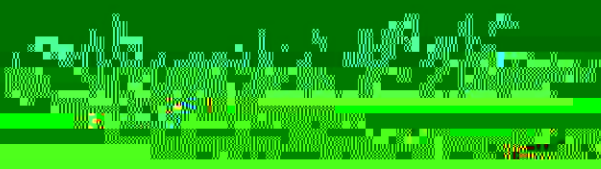
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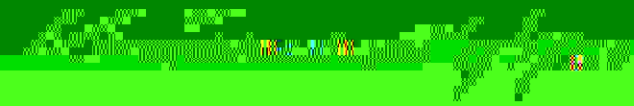
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