ADMINISTRATIVE PROCEEDING

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SECURITIES COMMISSIONER OP SOUTH CAROLINA

IN THE MATTER OP:

EKN PINANCIAL SERVICES, INC. CRD#113525

Respondent.

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ORDER REVOKING
REGISTRATION BY
DEFAULT

Pile Nnmber 13052

The Securities Division of the Office of the Attorney General of the State of South

Carolina (the "Division") under the authority of the

a Rule to

Show Cause ("Rule to Show Cause") against EKN Financial

Services, Inc. ("EKN" or "Respondent"), ordering Respondent to show cause why an order

should not be issued against it, revoking Respondent's broker-dealer registration in the State of

South Carolina.

In the Rule to Show Cause, the Division alleged that the Financial Industry Regulatory

Authority ("FINRA"), a self-regulatory organization, expelled the Respondent from membership.

The Rule to Show Cause notified the Respondent of the Division's intent to seek an order,

pursuant to S.C. Code Ann. § 35-1-412, revoking the Respondent's registration as a broker-

dealer in the State of South Carolina.

The Rule to Show Cause was served upon the Respondent at its last known address.

Specifically, on or around June 6, 2013, the Division sent via certified mail, return receipt

requested, a copy of the Rule to Show Cause addressed to EKN Financial Services, Inc. at 20 I

Old Country Road, Suite 101, Melville, New York, 11747. Thereafter, on or around June 14,

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2013, the United States Postal Service delivered the Rule to Show Cause. The Division did not receive a response to the Rule to Show Cause.
As a state registered broker-dealer, the Respondent provided the Division with its mailing address and is under a continuing obligation to update its registration information including the mailing address as changes occur.
By failing to respond to the Rule to Show Cause, or alternatively failing to update its address of record causing its failure to receive the Rule to Show Cause, the Respondent has caused the Rule to Show Cause to go into default. By allowing the Rule to Show Cause to go into default, the Respondent has opened itself up

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