

**ADMINISTRATIVE PROCEEDING  
BEFORE THE  
SECURITIES COMMISSIONER OF SOUTH CAROLINA**

**IN THE MATTER OF:**

**Drummond & Associates Financial**

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)  
)

**CONSENT ORDER**

**Services, LLC and Calvert Drummond,**

Respondents.

)  
)  
)

**File No. 14046**

WHEREAS, the Respondents admit that Respondent Drummond, on at least one occasion, transacted business in this State as an unregistered agent; and

WHEREAS, the Respondents admit that Respondent Drummond & Associates, on at least one occasion, employed or associated with an unregistered agent who transacted business on behalf of Drummond & Associates while that agent was not registered; and

WHEREAS, the Respondents admit that the securities they offered and sold were not federal covered securities, exempt from registration, nor registered with the United States Securities and Exchange Commission or the Division; and

WHEREAS, the Respondents admit the Commission has jurisdiction in this matter and

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The portion to this Order grants the Order does not and should not be interpreted

to require the Commission to take any action to ensure that the Commission's actions are consistent with the Commission's

policy of promoting the protection of the public interest in the Commission's actions.

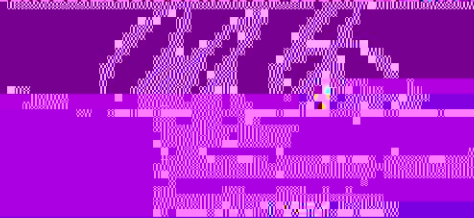
The Commission's actions are consistent with the Commission's policy of promoting the protection of the public interest in the Commission's actions.

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WE CONSENT

SECURITIES DIVISION OF THE OFFICE OF THE ATTORNEY GENERAL



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By:

A handwritten signature in blue ink, appearing to read "Cliver Drummond".

Cliver Drummond, CEO

Date:

7/10/15

DESIGNATED BY CLIVER DRUMMOND

SECURITY  
GENERAL