

II. RESPONDENTS

	Pegnopdent Connecting Company IIC is a Toyon limited liability.
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	company with a last known address of 3200 Broadway, Suite 220, Garland, Texas 75043.
	3. Respondent John Griffin is or was an employee of Respondent Cannon with a last
	known address of 3200 Broadway, Suite 220, Garland, Texas 75043.
	III. FINDINGS OF FACT
	4. Respondent Cannon is based in Garland, Texas and claims to be engaged in the
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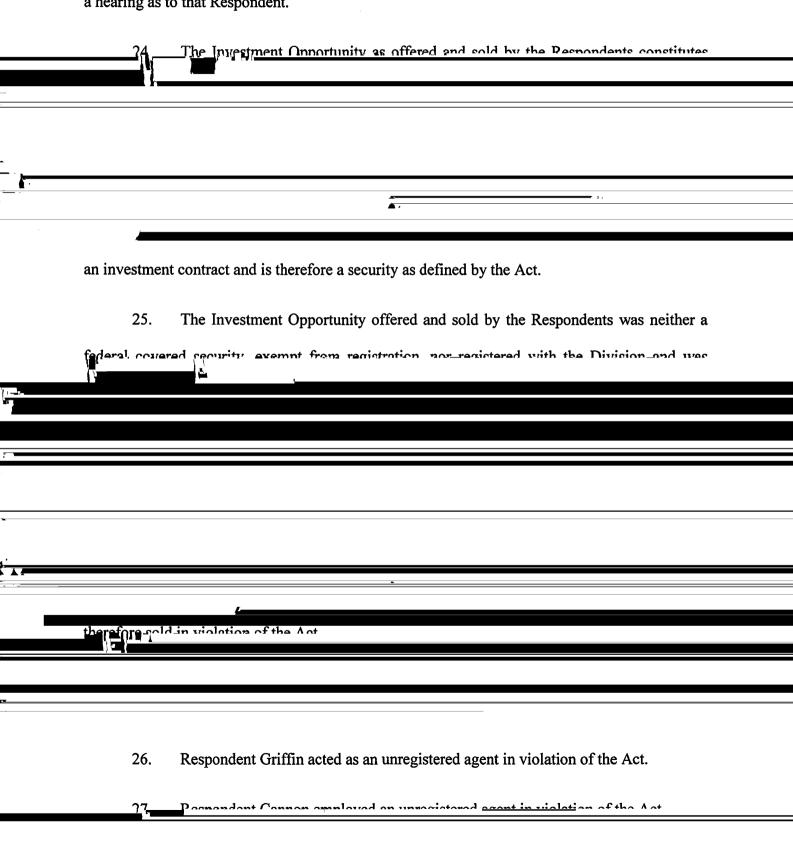
- 5. According to its website, Respondent Cannon "offer[s] industry level opportunities to the individual investor," with the goal of helping investors "increase [their] wealth."
- 6. In June of 2014, a South Carolina resident (the "Investor") with an interest in oil

- 9. Following the initial cold-call by Respondent Griffin, the Investor decided to invest in the Investment Opportunity. Subsequently, he wrote a check in the amount of \$18,000 to Respondent Cannon on June 27, 2014.
- 10. However, neither Respondent Griffin nor the Investment Opportunity were registered with the United States Securities and Exchange Commission, the Division, or exempt from registration.
- 11. When the Investor invested in the Investment Opportunity, Respondent Cannon provided him with a subscription agreement for the Investment, but did not provide him with a prospectus, private placement memorandum, or offering document of any kind.

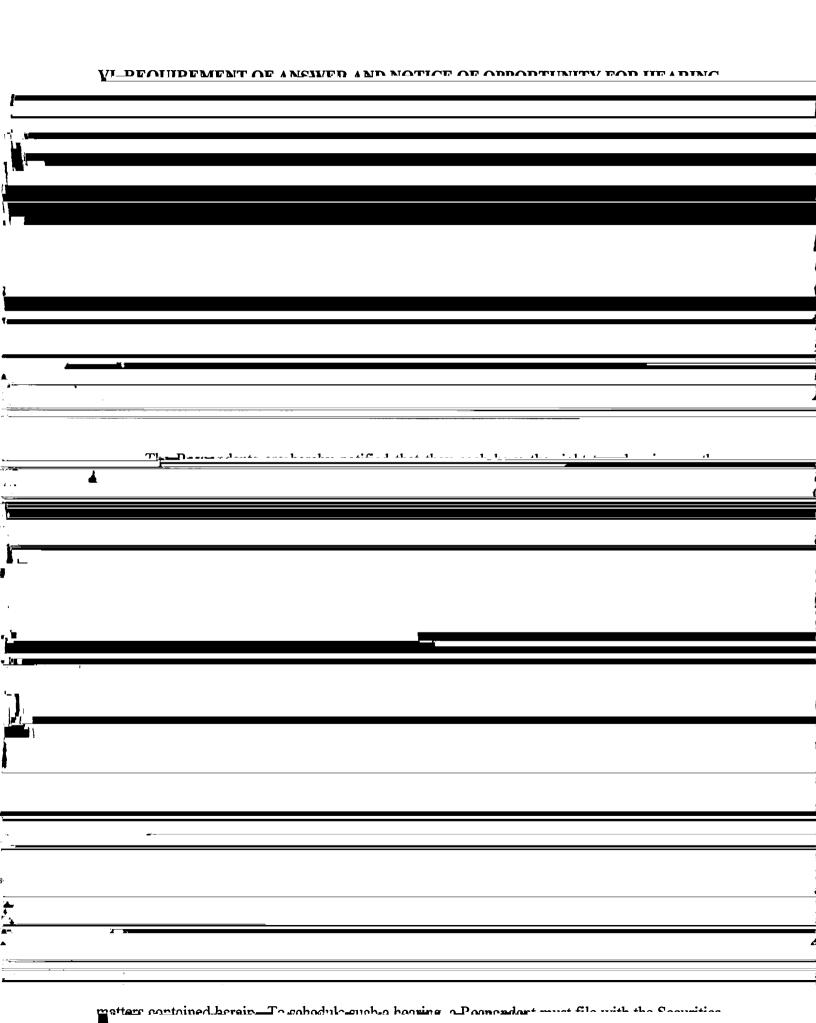
	12. Unbeknownst to the Investor, Respondent Cannon had, on May 7, 2013, been	
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S	19. Pursuant to S.C. Code Ann. § 35-1-402(a), it is unlawful for an individual to	
	topacot business as an execution this State unless that individual is resistanted an execution from	
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:	20. Pursuant to S.C. Code Ann. § 35-1-402 (d), it is unlawful for an issuer, engaged in	
	offering, selling, or purchasing securities in this State, to employ or associate with an agent who	
	transacts business in this State on behalf of that issuer unless that agent is registered under S.C. Code Ann. § 35-1-402 (a).	
	Pursuant to S.C. Code Ann & 35-L-501 it is unlawful for a person in connection	

days after the receipt of a request in a record from a Respondent, the matter will be scheduled for a hearing as to that Respondent.



	a. The Respondents and every successor, affiliate, control person, agent, servant,
	and employee of each Respondent, and every entity owned, operated, or
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	AND DESIST from transacting business in this State in violation of the Act,
	The Design from transacting submess in this state in violation of the rice,
<u>. </u>	and in particular 88.35_1_301_35-1_402 and 35-1_501 thereof:
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	h Respondent Cannon shall nav a civil nepalty in the amount of \$30,000,00 if
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ENTERED, this the and day of Felescery, 2015.



