

BEFORE THE
SECURITIES COMMISSIONER OF SOUTH CAROLINA

IN THE MATTER OF:

Matthew Corrington Robinson,
CRD #2157867, and

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)
)
)

RULE TO SHOW CAUSE

FILED IN 21067

Respondent's name is.

WHEREAS, the Securities Division of the Office of the Attorney General of the State of

III. FACTUAL ALLEGATIONS

4. Between September of 1992 and August of 2014, Matthew Robinson was registered with the Division as a broker-dealer agent.

5. During the time period relevant to these facts, Matthew Robinson was employed by the broker-dealer H. Beck, CRD# 1763 ("H. Beck").

6. Between June of 1999 and August of 2014, Matthew Robinson was registered with the Division as an investment advisor representative through H. Beck.

7. On or about October 4, 2010, a federal tax lien ("Event 1") was filed against Matthew Robinson by the Internal Revenue Service.

8. Matthew Robinson did not disclose Event 1 to H. Beck or to the Division.

9. On or about April 5, 2011, a state tax lien was filed against Matthew Robinson by the South Carolina Department of Revenue ("Event 2").

10. Matthew Robinson did not disclose Event 2 to H. Beck or to the Division.

11. On or about April 26, 2012, Matthew Robinson was the subject of an adverse

16. Event 1, Event 2, Event 3, and Event 4 (collectively, the "Events") were each required to be disclosed to H. Beck and to the Division.

17. During the period relevant to these facts, Eugene Robinson was branch manager for and operations of the H. Beck office in which Matthew Robinson was employed

18. Eugene Robinson is the holder of a Series 24 General Securities Principal license

23. Pursuant to S.C. Code Ann. Section 35-1-406(b), if the information or record contained in an application filed under S.C. Code Ann. Section 35-1-406(a) is or becomes inaccurate or incomplete in a material respect, the registrant shall promptly file a correcting amendment.

24. Pursuant to S.C. Code Ann. Section 35-1-412(c), if the Commissioner finds that the order is in the public interest and S.C. Code Ann. Sections 35-1-412(d)(1) through (6), (8)

(9), (10), or (12) and (13) authorizes the action, an order under the Act may censure, impose a

27. S.C. Code of Regulations Section 13-502(B) defines dishonest or unethical practices by investment advisers and investment adviser representatives to include engaging in other conduct such as non-disclosure, incomplete disclosure, or deceptive practices which shall also be grounds for denial, suspension or revocation of registration, imposition of administrative fines, or such other action authorized by statute.

28. S.C. Code of Regulations Section 13-501(C) defines dishonest or unethical practices by broker-dealers and agents to include engaging in other conduct such as non-

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

of this Rule to Show Cause, enter an Order, pursuant to S.C. Code Ann. § 35-1-412(c), imposing the following sanctions on the Respondents:

[REDACTED]

The Respondent's name and address shall be stated in the following manner: "Respondent, [Name and Address], [City, State, ZIP Code], [Country]."

"Respondent, [Name and Address], [City, State, ZIP Code], [Country]."

Respondent, [Name and Address], [City, State, ZIP Code], [Country].

Respondent, [Name and Address], [City, State, ZIP Code], [Country].

Respondent, [Name and Address], [City, State, ZIP Code], [Country].

Respondent, [Name and Address], [City, State, ZIP Code], [Country].

Respondent, [Name and Address], [City, State, ZIP Code], [Country].

Respondent, [Name and Address], [City, State, ZIP Code], [Country].

Respondent, [Name and Address], [City, State, ZIP Code], [Country].

Respondent, [Name and Address], [City, State, ZIP Code], [Country].

Respondent, [Name and Address], [City, State, ZIP Code], [Country].

Respondent, [Name and Address], [City, State, ZIP Code], [Country].

Respondent, [Name and Address], [City, State, ZIP Code], [Country].

Respondent, [Name and Address], [City, State, ZIP Code], [Country].

Respondent, [Name and Address], [City, State, ZIP Code], [Country].

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STATE OF SOUTH CAROLINA

STATE OF SOUTH CAROLINA

I hereby certify that

the individual/en listed below a copy of the document

first class postage prepaid and addressed to:

Matthew C. Robinson,
15 Isbel Lane
Greenville, SC 29607

Certified Air Mail

POSTAGE WILL BE PAID BY ADDRESSEE

POSTAGE WILL BE PAID BY ADDRESSEE

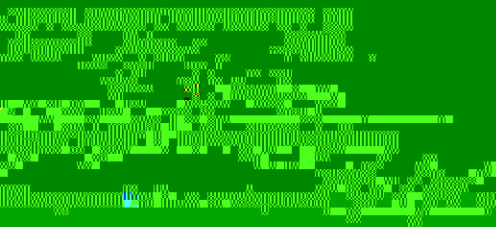
Matthew C. Robinson, 15 Isbel Lane, Greenville, South Carolina

Matthew C. Robinson, 15 Isbel Lane, Greenville, South Carolina



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STATE OF SOUTH CAROLINA
OFFICE OF THE ATTORNEY GENERAL

AFFIDAVIT OF COMPLIANCE
File Number 14065

I hereby certify that I caused upon the individual/entities listed below
a copy and dated May 27, 2015, of a copy of said document upon the occurrence

[Signature]

Clemmie B. Johnson

[Signature]

Clemmie B. Johnson

[Signature]

Clemmie B. Johnson

[Signature]

Clemmie B. Johnson

[Signature]

Clemmie B. Johnson

[Signature]

Clemmie B. Johnson

[Signature]

Clemmie B. Johnson