

ADMINISTRATIVE PROCEEDING

SECURITIES COMMISSIONER OF SOUTH CAROLINA

IN THE MATTER OF:)

Timothy Francis Dufresne,)
CRD No. 3237322,)

Respondent.)

ADMINISTRATIVE ORDER

Matter No. 16031

WHEREAS, Timothy Francis Dufresne, CRD No. 3237322 (the "Respondent"), was a broker-dealer agent registered with the Securities Division of the Office of the Attorney General of South Carolina pursuant to S.C. Code Ann. § 26-1-403 between March of 2000 and March of 2016; and

2016; and

WHEREAS, S.C. Code Ann. § 35-1-412(c) authorizes the Securities Commissioner to issue an order censuring, barring, or imposing a civil penalty in an amount not to exceed \$10,000 for each violation, on a registrant, if the Commissioner finds that the order is in the public interest and subsection (d) of S.C. Code Ann. § 35-1-412 authorizes the action; and

is the subject of an order, issued after notice and opportunity for hearing
~~that the Securities and Exchange Commission, as a self-regulatory~~

ENTERED this 1st day of June 2016

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Wm. J. ...
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Wm. J. ...