

**ADMINISTRATIVE PROCEEDING  
BEFORE THE  
SECURITIES COMMISSIONER OF SOUTH CAROLINA**

IN THE MATTER OF \_\_\_\_\_ )

APPROVED BY THE COMMISSIONER

Randy Leroy Geter )  
 )  
 Respondent. )

**File No. 16058**

WHEREAS, the Securities Division of the Office of the Attorney General of the State of South Carolina (the "Division") has been authorized and directed by the Securities

Commissioner of South Carolina (the "Securities Commissioner") to administer the provisions of S.C. Code Ann. § 35-1-101, *et seq.*, the South Carolina Uniform Securities Act of 2005 (the "Act"); and

WHEREAS the Division received information regarding alleged securities-related

**III. FINDINGS OF FACT**

3. Respondent is not registered as a broker-dealer, agent, or issuer in South Carolina.
4. Respondent has not registered securities for sale in South Carolina.
5. On May 23, 2006, Respondent signed a warning letter issued by the Division.
6. The warning letter made Respondent aware of the Act and the fact that he had violated

unregistered agent.

7. Respondent acknowledged that he signed and understood the warning letter from the Division.

IV. CONCLUSIONS OF LAW

governs the offer and sale of securities in this State.

14. Pursuant to S.C. Code Ann. § 35-1-102(29), notes, investment contracts, stock, and

certificates of interest or participation in profit-sharing agreements, *inter alia*, constitute securities

15. Pursuant to S.C. Code Ann. §§ 35-1-301, 35-1-401, and 35-1-402, it is unlawful for a

19 The Respondent did so while the Respondent was not registered as a securities salesperson.

registration.

20. The Respondent on at least one occasion and in connection with the offer sale or

penalty in an amount not to exceed \$10,000 for each violation of the Act by Geter, and the actual cost of investigation or proceeding; and

**IT IS FURTHER ORDERED** that, pursuant to S.C. Code Ann. §§ 35-1-604(a)(2) and (3), any exemption from registration with the Division upon which Respondent may claim to rely under S.C. Code Ann. §§ 35-1-201(3)(C), (7), or (8); 35-1-202; 35-1-401(b)(1)(D) or (F); or 35-

1-403(b)(1)(C) has been and is **PERMANENTLY DEVOIDED**

**VI. REQUIREMENT OF ANSWER AND NOTICE OF OPPORTUNITY FOR HEARING**

Respondent is hereby notified that he has the right to a hearing on the matters contained

herein. To schedule such a hearing, the Respondent must file with the Securities Division, Post

shall result in this Order, including the stated

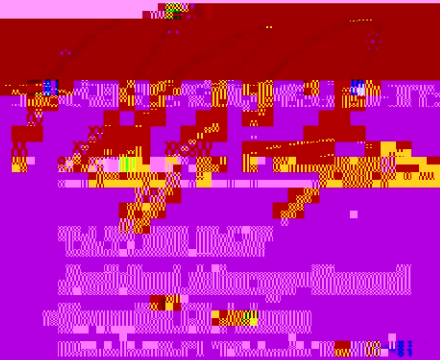
of the said

of the said

of the said

of the said

of the said



of the said

of the said

STATE OF SOUTH CAROLINA

1981

THE STATE OF SOUTH CAROLINA  
OFFICE OF THE COMPTROLLER GENERAL  
COLUMBIA, SOUTH CAROLINA

OFFICE OF THE COMPTROLLER GENERAL  
COLUMBIA, SOUTH CAROLINA

