

**ADMINISTRATIVE PROCEEDING  
BEFORE THE  
SECURITIES COMMISSIONER OF SOUTH CAROLINA**

**IN THE MATTER OF:**

**Sandlapper Securities, LLC,  
CRD No. 137906,**

**Respondent.**

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**ADMINISTRATIVE ORDER:  
SUSPENSION OF BROKER-DEALER  
REGISTRATION**

**Matter No. 20174519**

**WHEREAS,** the Securities Commissioner of South Carolina (the "Securities Commissioner") has authorized and directed the Securities Division of the Office of the Attorney General of the State of South Carolina (the "Securities Division") to suspend the registration of

4. On April 25, 2018, the Financial Industry Regulatory Authority (“FINRA”) suspended Sandlapper from membership in FINRA.

5. This suspension was the result of Sandlapper’s failure to timely file its December 2017 Annual Audit Report (the “Report”).

At least twenty-one (21) days prior to the issuance of Sandlapper’s suspension

FINRA notified Sandlapper of its failure to timely file the Report through a “Notice of Suspension.”

7. Following the Notice of Suspension, Sandlapper did not submit the Report, which resulted in its suspension from membership in FINRA.

#### **IV. CONCLUSIONS OF LAW**

8. The South Carolina Uniform Securities Act of 2005, S.C. Code Ann. § 35-1-101,

**V. ORDER**

**NOW THEREFORE**, pursuant to S.C. Code Ann. § 35-1-412(b), it is hereby **ORDERED** that the Respondent's registration with the Division as a broker-dealer is **SUSPENDED**.

**IT IS FURTHER ORDERED** that the Respondent's failure to file a written answer, including any request for a hearing, within thirty (30) days from the date of this Order, shall be deemed a waiver by the Respondent of the right to a hearing and shall result in this Order becoming final as to the Respondent by operation of law.

**VI. NOTICE OF OPPORTUNITY FOR HEARING**

The Respondent is hereby notified that it has the right to a hearing on the matters contained herein. To schedule such a hearing, a Respondent must file with the Securities Division, Post Office Box 11549, Rembert C. Dennis Building, Columbia, South Carolina, 29211-1549 attention: Wandy Faly within thirty (30) days after the date of service of this

Order or written Answer specifically requesting a hearing. If a Respondent requests a hearing

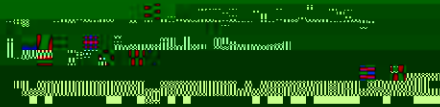
ENTERED

and

By: *Tracy Meyer*  
TRACY A. MEYER  
Deputy Securities Commissioner

ISSUANCE REQUESTED BY:

*AM*



Form No. 300

STATE OF SOUTH CAROLINA  
OFFICE OF THE ATTORNEY GENERAL  
COLUMBIA, SOUTH CAROLINA

EXHIBIT

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South Carolina  
2000 North Market Street  
Columbia, South Carolina 29201

Executive Director

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